

### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

March 17, 2006

CHRISTIE, PARKER & HALE, LLP PO BOX 7068 PASADENA, CA 91109-7068 US

Dear Sir/Madam,

Your refund request for 10511619 in the amount of \$1,257.00 has been denied.

Small entity status fee not refundable. The time has expired for the refund of this fee. A refund based on establishment of small entity status may only be obtained if a verified statement under 37 CFR 1.27 and a request for the refund of excess amount are filed within three months of timely payment of the full fee (37 CFR 1.28).

PCT - National 703 308-9140 x231

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## TRANSMITTAL

Date: January 26, 2006

No. of Pages: 4 (including this cover sheet)

Fax No.: 571-273-6500

## PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:

Commissioner of Patents

Art Unit: REFUND BRANCH

Examiner:

Phone:

From: D. Bruce Prout

Reg No. 20,958

Re: Application No. 10/511,619

Filed October 16, 2004

Entitled DISPOSABLE PRODUCT DISPENSER

File: 5307:53686

#### SECOND REQUEST

\*Correspondence: January 10, 2005 Verified Statement Claiming Small Entity Status and Request for Partial Refund

> Christie, Parker & Hale, LLP 350 West Colorado Boulevard Post Office Box 7068 Pasadena, CA 91109-7068 628-795-9900

Fax: 626-577-8800

#### confidential

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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Jeremy Browne, et al.

Confirmation No.

Application No. : 10/511,619

Filed

: October 16, 2004

Title

: DISPOSABLE PRODUCT DISPENSER

Grp/Div.

; N/A

Examiner

: N/A

Docket No.

: 53686/DBP/S307

## VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS AND REQUEST FOR PARTIAL REFUND

Commissioner for Patenta P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 January 10, 2005

marguet

#### Commissioner:

Applicant hereby claims Small Entity status as of the date of payment of the fee.

A fee in the amount of \$2,514.00 was paid on October 16, 2004, as a non-small entity status. The excess over a small entity fee is \$1,257.00

Applicant hereby requests that a refund of the excess fee be made by check with this firm as payee. Please indicate our docket number on the check. A copy of this request is enclosed.

Respectfully submitted,

Reg. No. 20,958 626/795-9900

CHRISTIE, PARKER & HALE, LLP

DBP/aam

- The Mark British Section 1

Enclosure: Copy of this request

AAM PASS97965.1-\*-01/10/05 2:35 PM

Applicant or Patentee
Application No. or Pa

: 53686/DBP/S307

CHRISTIE, PARKER & HALE, LLP

Post Office Box 7068

Pasadena, CA 91109-7068

(626) 795-9900

Application No. or Patent No. : 10/511,619

Jeremy Browne, et al. : 10/511,619

Filed or Issued

: October 16, 2004

Entitled

: DISPOSABLE PRODUCT DISPENSER

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37) CFR 1.9(f) & 1.27(c) — SMALL BUSINESS CONCERN

* *
I hereby declare that I am
the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the concern identifies below:
NAME OF SMALL BUSINESS CONCERN : BRILLIANT BEVERAGES CONCEPTS LTD  ADDRESS OF SMALL BUSINESS CONCERN: 5 Colville Mews, Notting Hill, London W11 2AR, United Kingdom
I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced feet to the United States Patent and Trademark Office, in that the number of employees of the concern including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled DISPOSABLE PRODUCT DISPENSER by inventor(s) tescribed in
the specification filed herewith
X Application Nofiled 10/511,619, filed 10-16-2004
Patent No Issued
If the rights held by the above identified small business concern are not exclusive, each individual concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)
NAME : ADDRESS :INDIVIDUALSMALL BUSINESS CONCERNNONPROFIT ORGANIZATION

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37) CFR 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN Docket No.: 53686/DBP/S307 NAME ADDRESS \_ INDIVIDUAL \_\_ SMALL BUSINESS CONCERN \_\_ NONPROFIT ORGANIZATION I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may leopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed. NAME OF PERSON SIGNING TITLE OF PERSON SIGNING IF OTHER THAN OWNER : ADDRESS OF PERSON SIGNING. MARCHAROUGH SIGNATURE DBP/aam AAM PAS518794,1-\*-08/1/03 10:35 AM